Curriculum Vitae

David Austen-Smith

Office:

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Personal: Date of Birth, March 22nd 1953; Married, two children.

Higher Education:

1978 PhD in Economics, University of Cambridge.

1974 First Class Honours (BSc) in Economics, University of Bristol, England.

Employment:

8/10- Senior Associate Dean for Faculty and Research, Kellogg School of Management, Northwestern University

7/09-7/10 Departmental Chair, Managerial Economics and Decision Sciences, Kellogg School of Management, Northwestern University

9/07- Peter G. Peterson Professor of Corporate Ethics, Professor of Political Science and Economics, Northwestern University.

9/04-9/07 Earl Dean Howard Distinguished Professor of Political Economy, Professor of Political Science and Economics, Northwestern University.

9/00-8/04 Ethel and John Lindgren Professor, Professor of Political Science and Economics, and Professor of Management and Strategy (by courtesy), Northwestern University.

7/96-8/00 Professor of Political Science, Professor of Economics (by courtesy), and Professor of Management and Strategy (by courtesy), Northwestern University.

7/91-6/96 Professor of Political Science, University of Rochester, Rochester, NY.

7/84-6/91 Associate Professor of Political Science, University of Rochester, Rochester, NY.

9/77-6/84 Lecturer in Economics (tenured), University of York, England.

Visiting Positions and Fellowships:

Senior Research Associate, Rochester Center for Economic Research, University of Rochester, NY, 1997 -

Research Fellow, RSSS Australian National University, Canberra, Australia, Summer 1994.

Visiting Professor of Economics and Political Science, University of Iowa, IA, 1991/92.

John M. Olin National Fellow, Hoover Institution, Stanford University, Stanford, CA, 1987/88.

Visiting Associate Professor of Political Science, California Institute of Technology, Pasadena, CA, Winter 1987.

Postdoctoral Fellow in Political Economy, GSIA, Carnegie-Mellon University, Pittsburgh, PA, 1982/83.

Visiting Assistant Professor of Economics, University of Maryland, College Park, MD, Fall 1980.

Honours:

Selected as an Economic Theory Fellow, Society for Advancement of Economic Theory, 2011

Sidney J. Levy award for teaching excellence, Kellogg School of Management, 2006, 2008

Senior Research Associate, Wallis Institute of Political Economy, University of Rochester

Fellow of the American Academy of Arts and Sciences, elected April 2000

Charter member, Game Theory Society

Senior Research Associate, Wallis Institute of Political Economy, University of Rochester

Invited Visiting Professor, Department of Politics, Essex University, 10/25/99-10/30/99.

Benjamin Meaker Visiting Professor, Institute for Advanced Studies, University of Bristol,11/20/99-12/3/99.

Invited Keynote speaker, FOKUS-ACEI Joint Symposium on "Incentives and Information in Cultural Economics", Vienna, Austria, January 27-29 2000.

Invited speaker, Inaugural Conference of the Game Theory Society, Bilbao, Spain, July 24-28 2000.

Invited Visiting Professor, Department of Economics, Universite de Cergy-Pointoise, 5/5/01-5/19/01.

Invited Visiting Professor, Department of Political Science, Princeton University, 4/5/02-4/19/02.

Invited Visiting Professor, Department of Economics, Bocconi University, Milan, 5/5/02-5/18/02.

Invited to deliver the Condorcet Plenary Lecture to the Social Choice and Welfare Society biannual meetings, Pasadena, CA 7/11/02-7/14/02

Research:

Positive political theory, political economy, social choice, applied game theory.

Grants:

2005/7 NSF Grant SES-0505818, to support work on deliberation and voting in committees (with Tim Feddersen)

2002/4 NSF Grant SES-0240186, to support work on redistribution in divided societies (with Michael Wallerstein)

1998/9 NSF Grant SBR-9804877, to support work on strategic information transmission via experiments.

1995 NSF Grant SBR-9510877, to support work on information aggregation and the Condorcet Jury Theorem (with Jeff Banks, coPI).

1994 NSF Grant SBR-9409153, to support work on campaign contributions.

1993 NSF Grant SES-9308303, to support work on informational lobbying.

1991-93 NSF Grant SES-9103651, to support work on debate in politics.

1990 Sarah Scaife Foundation, Inc., grant to hold Conference in Honor of William H. Riker, Rochester, N.Y., October 12-13, 1990 (with Randall Calvert).

1989 NSF Grant SES-8907224, to support work on coalition formation in parliamentary legislatures (with Jeff Banks, coPI).

1988 NSF Grant SES-8600468 (continuation) (with Jeff Banks, coPI).

1987 NSF Grant SES-8600468, to support work on elections, coalitions and legislative outcomes (with Jeff Banks, coPI).

1986 NSF Grant SES-8600965, to support work on information transmission in legislatures.

1981-83 Social Science Research Council Grant to support work on the political economy of subsidized repertory theatre in England.

1980-81 Nuffield Foundation Research Grant to support work on the theory of pressure groups.

1974-77 Social Science Research Council Quota Award to finance graduate study at the University of Cambridge.

Outside Activities:

10-16-08, Written affidavit for the City of Minneapolis on voting rules.

Publications:

Books:

Positive Political Theory I: Collective Preference (with Jeff Banks), University of Michigan Press, 1999.

Positive Political Theory II: Strategy and Structure (with Jeff Banks), University of Michigan Press, 2005.

Social Choice and Strategic Decisions: Essays in Honor of Jeffrey S. Banks, (Co-editor with John Duggan), Springer-Verlag, 2005.

The Politics of Inequality, Labor, and Social Democracy: Selected Works of Michael Wallerstein, (Co-editor with Jeff Frieden, Adam Przeworski, Kalle Moene and Miriam Golden), 2008, Cambridge University Press.

Peer reviewed journal articles:

"Information aggregation and communication in committees" (with Tim Feddersen), *Philosophical Transactions of the Royal Society of London B*, March 2009

"In response to Jurg Steiner's 'Concept Stretching: The Case of Deliberation'" (with Tim Feddersen), *European Political Science*, 7, 2008.

"Redistribution and affirmative action" (with Michael Wallerstein), *Journal of Public Economics,* November 2006.

"Deliberation, preference uncertainty and voting rules" (with Tim Feddersen), *American Political Science Review*, May 2006.

"An economic analysis of 'acting white"' (with Roland Fryer), *Quarterly Journal of Economics*, May 2005.

"Majority preference for subsidies over redistribution" *Journal of Public Economics*, 87(7-8), 2003.

"Costly signaling and cheap talk in models of political influence" (with Jeff Banks), *European Journal of Political Economy,* 18, 2002.

"Political Science: Introduction" (with Jeff Banks and Aldo Rustichini) *Journal of Economic Theory*, 103(1), 2002.

"Redistributing income under proportional representation" *Journal of Political Economy*, 108(6), 2000.

"Cheap talk and burned money" (with Jeff Banks), *Journal of Economic Theory*, 91(1), 2000

"Cycling of simple rules in the spatial model" (with Jeff Banks), *Social Choice and Welfare*, 16(4), 1999.

"Allocating access for information and contributions", *Journal of Law, Economics and Organization* October, 1998.

"Theory and evidence for counteractive lobbying" (with John R. Wright), *American Journal of Political Science* 40(2), 1996.

"Information aggregation, rationality and the Condorcet Jury Theorem" (with Jeff Banks), *American Political Science Review*, 90(1), 1996.

"Campaign contributions and access", *American Political Science Review* 89(3), 1995.

"Strategic transmission of costly information", *Econometrica* 62(4), 1994.

"Counteractive lobbying" (with John R. Wright), *American Journal of Political Science*, 38(1), 1994.

"On justifying subsidies to the performing arts", *Journal of Cultural Economics* series, "classics in the economics of the arts", 1994 (reprinted from William Hendon, John Shanahan and Alice MacDonald [eds.] *Economic Policy for the Arts*, Cambridge, MA: Abt Books,1980).

"Information and influence: lobbying for agendas and votes", *American Journal of Political Science*, 37(3), 1993.

"Interested experts and policy advice: multiple referrals under open rule" *Games and Economic Behavior*, 5(1), 1993.

"Competitive lobbying for a legislator's vote" (with John R. Wright), *Social Choice and Welfare*, 9(3), 1992.

"Takeover defenses and shareholder voting" (with Patricia O'Brien) *Economica* may, 1992.

"Explaining the vote: constituency constraints on sophisticated voting" *American Journal of Political Science* 36(1), 1992 (reprinted in K.Binmore, A.Kirman and P.Tani, [eds.] *Frontiers of Game Theory*, 1994, Cambridge: MIT Press).

"Strategic models of talk in political decision making" *International Political Science Review* 13(1), 1992.

"Monotonicity in electoral systems" (with Jeff Banks) *American Political Science Review* 85(2), 1991.

"Stable governments and the allocation of policy portfolios" (with Jeff Banks) *American Political Science Review* 84(3), 1990.

"Asymmetric information and the coherence of legislation: correction" (with William H.Riker) *American Political Science Review* 84(1), 1990.

"Information transmission in debate" *American Journal of Political Science* 34(1), 1990.

"Credible debate equilibria" *Social Choice and Welfare* 7(1), 1990.

"Sincere voting in models of legislative elections" *Social Choice and Welfare*, 6(4), 1989.

"Elections, coalitions and legislative outcomes" (with Jeff Banks) *American Political Science Review*, 82(2), 1988. Reprinted in: *Rational Choice Politics*, edited by Torun Dewan, Keith Dowding, Kenneth A. Shepsle, London: SAGE Publications, 2009.

"Sophisticated sincerity: voting over endogenous agendas" *American Political Science Review*, 81(4), 1987. Reprinted in: *Rational Choice Politics*, edited by Torun Dewan, Keith Dowding, Kenneth A. Shepsle, London: SAGE Publications, 2009.

"Asymmetric information and the coherence of legislation" (with William H.Riker) *American Political Science Review*, 81(3), 1987.

"Interdependent decision making in nonprofit industries: a simultaneous equation analysis of English provincial theatre" (with Stephen Jenkins) *International Journal of Industrial Organization*, 5(2), 1987.

"Parties, districts and the spatial theory of elections" *Social Choice and Welfare*, 4, 1987.

"Interest groups, campaign contributions and probabilistic voting" *Public Choice*, 54(2), 1987.

"Legislative coalitions and electoral equilibrium" *Public Choice*, 50(1), 1986.

"A multiperiod model of nonprofit enterprises" (with Stephen Jenkins) *Scottish Journal of Political Economy*, 32(2), 1985.

"Two party competition with many constituencies" *Mathematical Social Sciences*, 7(2), 1984.

"Subsidies to the arts with multiple public donors" *Economic Record*, dec., 1984.

"The pure theory of large two-candidate elections: comment on the Ledyard paper" *Public Choice*, 44(1), 1984.

"The spatial theory of electoral competition: instability, institutions and information" *Environment and Planning, Series* C: *Government and Policy*, 1(4), 1983.

"Restricted Pareto and rights" *Journal of Economic Theory*, 26(1), 1982.

"Grant-giving to provincial repertory theatres by the Arts Council of Great Britain" (with Stephen Jenkins) *Journal of Cultural Economics*, dec., 1982.

"Party policy and campaign costs in a multiconstituency model of electoral competition" *Public Choice*, 37(3), 1981.

"Voluntary pressure groups" *Economica*, may, 1981.

"Individual contribution to public goods" *Economic Letters*, 5, 1980.

"On the impact of revenue subsidies on repertory theatre policy" *Journal of Cultural Economics*, june, 1980.

"Fair rights" *Economic Letters*, 4, 1979.

Papers in edited volumes:

"Political competition" S. Durlauf and L. Blume (eds) *New Palgrave Dictionary of Economics (2nd edition)*, Macmillan, 2008.

"Information in elections" (with Arthur Lupia), in J. H. Aldrich, J. E. Alt and E. Lupia (eds) *Positive Changes in Political Science: The Legacy of Richard McKelvey's Most Influential Writings*, University of Michigan Press, 2007.

"Economic methods in positive political theory", in B. R. Weingast and D. Wittman (eds), *Oxford Handbook of Political Economy*, Oxford University Press, 2006. Reprinted in: *Oxford Handbook of Political Science*, edited by Robert E. Goodin, Oxford: Oxford University Press, 2009.

"Deliberation and voting rules" (with Tim Feddersen), in D. Austen-Smith and J. Duggan (eds) *Social Choice and Strategic Decisions: Essays in Honor of Jeffrey S. Banks*, Springer-Verlag, 2005.

"Social choice theory, game theory and positive political theory" (with Jeff Banks), in N.Polsby [ed] *Annual Review of Political Science, vol.1.* Palo Alto:Annual Reviews Inc, 1998.

"Interest groups: money, information and influence", in Dennis Mueller [ed.] *Perspectives on Public Choice.* Cambridge:CUP, 1996.

"Refinements of the Heart", In Norman Schofield [ed.], *Collective Decision-Making: Social Choice and Political Economy*, 1996, NY: Kluwer-Nijhoff.

"Electing legislatures", In Norman Schofield [ed.], *Collective Decision-Making: Social Choice and Political Economy*, 1996, NY: Kluwer-Nijhoff.

"Information acquisition and orthogonal argument", In W.Barnett, M.Hinich, and N.Schofield [eds.], *Political Economy: Institutions, Information Competition and Representation*, 1993, Cambridge: CUP.

"Explaining the vote: constituency constraints on sophisticated voting", In K.Binmore, A.Kirman and P.Tani, [eds.] *Frontiers of Game Theory*, 1994, Cambridge: MIT Press (reprinted from *American Journal of Political Science* 36(1), 1992).

"Electoral accountability and incumbency" (with Jeff Banks) In Peter Ordeshook [ed.] *Models of Strategic Choice in Politics*, Ann Arbor: University of Michigan Press, 1989.

"On justifying subsidies to the performing arts", In William Hendon, John Shanahan and Alice MacDonald [eds.] *Economic Policy for the Arts*, Cambridge, MA: Abt Books,1980 (reprinted in *Journal of Cultural Economics* series, "classics in the economics of the arts", 1994).

Review essays etc:

Co-Editor of a "Symposium on political science" (with Jeff Banks and Aldo Rustichini) *Journal of Economic Theory*, 103(1), 2002.

"Rational consumers and irrational voters: a review essay on "Black Hole Tariffs and Endogenous Policy Theory" (Magee, Brock and Young:CUP 1989)" *Economics and Politics* 3(1), 1991.

"Society and economy: a review article" *Scottish Journal of Political Economy*, 28(3), 1981.

Book reviews:

A.Lupia and M.McCubbins: The Democratic Dilemma, *Public Choice,* October 1999.

T.Schwartz: The Logic of Collective Choice, *American Political Science Review*, 1987.

J.Wriglesworth: Libertarian Conflicts in Social Choice, *Economic Journal*, 1986.

D.Hibbs & H.Fassbender: Contemporary Political Economy, *Economic Journal*, 1982.

D.Mueller: Public Choice, *Social Policy and Administration*, 1979.

Working papers and work in progress:

"A note on preference uncertainty and communication in committees" revised (with Tim Feddersen)

"Public disclosure, private revelation or silence: whistleblowing incentives and managerial policy" (with Tim Feddersen)

"Policy and politicians in an OLG model of occupational choice" (with Steve Callendar), in preparation

"Political institutions and the choice of economic policy instruments" (with Bard Harstad), in preparation

"Modeling deliberative democracy" 1995.

Professional activities:

Elected Council Member of the Game Theory Society, 2008-2011

Co-Editor, *Quarterly Journal of Political Science, 2005-*
Associate Editor, *Social Chioce and Welfare, 2005-2010*
Associate Editor, *Games and Economic Behavior, 1997-2010*
Associate Editor, *Journal of Public Economics, 1998-2005*
Editorial Board, *American Political Science Review, 1995-2001*
Editorial Board, *American Journal of Political Science, 1999-2001*
Associate Editor, *Journal of Economic Theory, 1997-2005*
Associate Editor, *Bulletin of Economic Research,* 1989-1999
Co-Editor, *Economics and Politics,* 1991-1997

Referee for NSF, APSR, AJPS, JPol,. Econometrica, JPolEc., Rev.Ec.Stud., JET, JPubEc., AER, QJE, PubCh., Soc.Ch.& Welfare, Games & Econ.Behavior, *inter alia.*

Invited member of Scientific Advisory Board for the $3.7 million (CA), seven-year research grant, "Making Electoral Democracy Work", awarded by the SSHRC of Canada; Andre Blais (Univeristy of Montreal) PI.

Section organizer: (1) Midwest PSA meetings, 1992; (2) APSA meetings, 1993.

Program committee: Social Choice and Welfare Society meetings, 2000.

Program committee: North American Econometric Society summer meetings, 2004, 2006 and winter meetings 2009

Program committee: Game Theory Society meetings, 2008

Co-organizer (with Jeff Banks) of Wallis Institute of Political Economy Conference 1996.

Co-organizer (with Roger Myerson) of Wallis Institute of Political Economy Conference 1998.

NSF Graduate Fellowship panel (Economics & History of Science) 1998.

Co-organizer (with Tim Feddersen and Rakesh Vohra) of MEDS conference on Ethics and Game Theory, 2003.

Co-organizer (with Tim Feddersen) of MEDS conference on Deliberation and Collective Choice, 2005.

Co-organizer (with Tim Feddersen and Adam Galinsky) of CGBS conference on Business and Ethics, 2006

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