

DAVID P. STOWELL

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Current Position (Since March, 2005):

**KELLOGG SCHOOL OF MANAGEMENT
NORTHWESTERN UNIVERSITY**

Clinical Professor of Finance
Evanston, Illinois

Teach four courses: Investment Banking; Hedge Funds and Private Equity; Investment Banking Recruiting Prep; and Buyout Lab. Research focuses on investment banking, hedge funds and private equity funds. Faculty advisor to Investment Banking and Capital Markets Club.

Previous Positions (1986 through February, 2005):

JP MORGAN

Managing Director and Head of Central Region Investment Banking
JP Morgan Chase Mid-Corporate Banking
Chicago, Illinois

Responsible for managing the Central Region's Investment Banking business, including M&A advisory, capital markets underwriting and private placement services for corporate clients

UBS INVESTMENT BANK

Managing Director and Head of U.S. Equity-linked Securities Origination
Equity Capital Markets Group
New York, New York

Responsible for managing a nationwide equity capital markets business focused on equity-linked securities, including convertibles and derivative-related financings.

O'CONNOR PARTNERS

Managing Director and Head of Corporate Transactions
Chicago, Illinois

Responsible for creating and managing a business that focused on corporate equity derivative-based transactions for this hedge fund.

GOLDMAN SACHS

Vice President

Investment Banking Division

New York, New York and Tokyo, Japan

Focused on corporate finance and M&A for financial institutions in Tokyo and New York and then became co-head of corporate equity derivatives in New York.

Other Activities:**ADELPHIA RECOVERY TRUST**

Member of Board of Trustees

Responsible for overseeing the activities of the trust as one of five members of the board. Principal focus on asset sales and litigation activities.

PARADIGM ADVISORS

Self Proprietorship

Provide consulting services regarding investment banking (M&A, capital markets financings and derivatives), hedge funds and private equity

Educational Background:

COLUMBIA UNIVERSITY

Columbia Business School

New York, New York

MBA, Finance

UTAH STATE UNIVERSITY

Logan, Utah

BA, Economics

Publications:

Investment Banks, Hedge Funds and Private Equity: The New Paradigm—Textbook published by Academic Press, an imprint of Elsevier Inc. during February 2010

Kmart, Sears, and ESL: How a Hedge Fund Became One of the World's Largest Retailers---Kellogg Case Study, 2005

McDonald's, Wendy's and Hedge Funds: Hamburger Hedging?—Hedge Fund Activism and Impact on Corporate Governance—Kellogg Case Study, 2006

The Best Deal Gillette Could Get?—Proctor & Gamble's Acquisition of Gillette—Kellogg Case Study, 2006

The Toys "R" Us LBO—Kellogg Case Study, 2007

GM and Cerberus: Unusual Partners—Kellogg Case Study, 2007

Freeport-McMoRan: Financing an Acquisition—Kellogg Case Study, 2007

A Tale of Two Hedge Funds: Magnetar and Peloton—Kellogg Case Study, 2009

Porsche, Volkswagen and CSX: Cars, Trains and Derivatives—Kellogg Case Study, 2009

Investment Banking in 2008 (A): Rise and Fall of the Bear—Kellogg Case Study, 2009

Investment Banking in 2008: (B) A Brave New World—Kellogg Case Study, 2009