Swaminathan (Sri) **Sridharan**

Accounting Information and Management
Kellogg School of Management
Northwestern University
2001 Sheridan Road
Evanston, Illinois 60208
Phone: (847) 491-8807
Fax: (847) 467 1202
E-mail: s-sridharan@kellogg.northwestern.edu

Professional experience

Since Sep. 2011-	Chairperson of Accounting Information and Management Department
Since Sep. 2009 -	John and Norma Darling Chair in Accounting
2006-2009	John L. and Helen Kellogg Professor of Accounting Information and Management
2004-2006	Professor, Kellogg School of Management
1998-2004	Associate Professor, Kellogg School of Management
1990-1998	Assistant Professor, Kellogg School of Management
1984 - 1986	Chief Financial Officer for a group of international manufacturing companies
1976-1977 & 1979 - 1984	Senior Manager, an accounting and consulting firm in Chennai, India

Educational and Professional Background

1986 - 1990	Ph. D. in Business Administration, Katz Graduate School of Business University of Pittsburgh, Pittsburgh, PA 15260.
1977 - 1979	Master of Business Administration Indian Institute of Management, Ahmedabad, India Specialization: Finance and Economics.
1972 - 1976	Bachelor of Commerce, University of Madras, Madras, India Specialization: Finance and Economics.
Since 1990	Member of the American Accounting Association
Since 1981	Member of the Institute of Chartered Accountants of India, New Delhi, India
Since 1979	Member of the Institute of Cost and Works Accountants of India, Calcutta, India
Since 1981	Member of the Institute of Company Secretaries of India, New Delhi, India

RESEARCH

I. Published Papers

Sridhar, S., "Managerial Reputation and Internal Reporting," *The Accounting Review* (April 1994): 343-63.

Nagarajan, N., K. Shivaramakrishnan and S. Sridhar, "Managerial Entrenchment, Reputation Building and the Optimality of Short-Term Projects," *Journal of Accounting, Auditing and Finance* (Summer 1995): 565-86.

Dye, R. and S. Sridhar, "Industry-wide Disclosure Dynamics," *Journal of Accounting Research* (Spring 1995): 157-74.

Sridhar, S. and R. Magee, "Financial Contracts, Opportunism and Disclosure Management," *The Review of Accounting Studies*, Volume 1, Number 3 (1996).

Evans, J. and S. Sridhar, "Multiple Control Systems, Accrual Accounting, and Earnings Management," *Journal of Accounting Research* (Spring 1996): 45-66.

Nagarajan, N. and S. Sridhar, "Corporate Responses to Segment Disclosure Requirements," *Journal of Accounting and Economics* (April 1996): 253-75.

Sridhar, S. and B. Balachandran, "Incomplete Information, Task Assignment and Managerial Control Systems," *The Management Science* (June 1997).

Sridhar, S. and B. Balachandran, "Choice of An Information Structure Versus A Production Environment: A Managerial Control Perspective" in *The Journal of Accounting and Public Policy, Vol. 18, No.3,* (Autumn 1999).

Sridhar, S. and R.P. Magee, "Disclosure and Recognition Requirements: Corporate Investment Decisions with Externalities," *Contemporary Accounting Research* vol. 18 no. 1 (Spring 2001).

Evans, J.H. and S. Sridhar, "Disclosure-Disciplining Mechanisms: Capital Markets, Product Markets, and Shareholder Litigation," *The Accounting Review*, vol. 77, no. 3, (July 2002).

Dye, R.A. and S. Sridhar, "Resource Allocation Effects of Price Reactions to Disclosures," *Contemporary Accounting Research*, vol. 19, no. 3, (Fall 2002).

Dye, R.A. and S. Sridhar, "Investment Implications of Information Acquisition and Leakage," *The Management Science*, vol. 49, no. 6, (June 2003): 767-783.

Dye, R. and S. Sridhar "Reliability-relevance trade-offs and the efficiency of aggregation," *Journal of Accounting Research* vol. 42, no. 1 (March 2004): pp. 51-88.

Dye, R.A. and S. Sridhar, "Moral Hazard Severity and Contract Design," *The Rand Journal of Economics* V 36, #1, Spring 2005.

Beyer, A., and S. Sridhar, "Effects of Multiple Clients on the Reliability of Audit Reports" *Journal of Accounting Research*, vol. 44, number 1, March 2006.

Dye, R.A. and S. Sridhar, "The allocational effects of precision of accounting estimates" *Journal of Accounting Research*, vo. 45, number 4, September 2007.

Dye, R.A. and S. Sridhar, "A positive theory of flexibility in accounting standards" *Journal of Accounting and Economics*, volume # 46, Issues 2+3, December 2008.

II. Working Papers

Marinovic, I. and S. Sridhar, "Certified disclosures and resource allocation decisions: the role of externalities and learning"

Sridhar, S. and B.V. Balachandran, "Efficiency-promoting aggregate disclosures and multiple layers of information asymmetry"

Marinovic, I. and S. Sridhar, "Managing ratings by multiple agencies" (being revised for submission to a journal)

Dye, R.A. and S. Sridhar, "On the desirability of excessively intervening boards"

Dye, R.A. and S. Sridhar, ""Hedging Executive Compensation Risk via Transactions with Investment Banks," (2012)

Dye, R.A. and S. Sridhar, "Risk management: Contracting, resource allocation and valuation implications" (2012)

Dye, R.A. and S. Sridhar, "Disclosure implications of inter-company holdings"

Hariom Manchiraju, and S. Sridhar "Do firms use derivatives in a prudent manner or in an opportunistic fashion?" (2012)

Sridhar, S. and B.V. Balachandran, "When Is Ignorance Blissful?"

Ramanan, N. and S. Sridhar, "On non-contractibility of performance measures."

III. Other research currently in progress

Sridhar, S. "On the desirability of off-balance sheet financing"

Sridhar, S. "Structuring of transactions and the choice of an accounting period"
Sridhar, S. "Fair valuation of a firm's liabilities in the context of a regulated firm"

Sridhar, S. “Substance over form of disclosures”

Sridhar, S. "Influence of debt on disclosure policies"

Sridhar, S. “Valuation implications of securitization”

Sridhar, S. “Resource allocation and Informativeness implications of buyer-supplier contracts”

Sridhar, S. “Disclosure-induced Transaction Structuring”

Sridhar, S. “Implications of aggressive investor behavior on corporate disclosures and investments”

IV. Other Publications

Nagarajan, N. and S. Sridharan. “Corporate Responses to Segment Disclosure Requirements.” Reproduced in the book *Developments in Financial Reporting by Multinationals*, edited by Prof. Clare B. Roberts and published by Edward Elgar Publishing Limited, Surrey, UK. (2003)

TEACHING EXPERIENCE AND INTERESTS

Since 1990 Have taught Managerial Accounting, Financial Accounting, Project Management and Project Report Preparation and Global Initiatives in Management courses at the Master's level and a research seminar in information economics at the Ph.D. level at Kellogg School of Management, Northwestern University. I have also taught executive programs in several international programs including WHU, Germany, Hong Kong, Israel and Miami programs.

1986 - 1990 Taught undergraduate Financial Accounting at the University of Pittsburgh.

AWARDS AND HONORS

2010 and 2003 Chairs’ Core Teaching Award for excellence in teaching

2003 Recipient, along with Prof. Ronald A. Dye, of an award from The Searle Fund for Policy Research to do research on “Setting accounting standards: the relative preferability of Principles versus rules.”

1989 Doctoral dissertation Grants-in-Aid award from the American Institute of Certified Public Accountants

1986 - 1989 Graduate Student Research Assistantship from Katz Graduate School of Business,

University of Pittsburgh, Pittsburgh

1981 Ranked first nationally in Advanced Accounting, Auditing, and Financial Management papers in the final examination of the Institute of Chartered Accountants of India and was ranked second nationally in terms of overall performance.

1979 Ranked fourth nationally in the final examination of the Institute of Cost and Works Accountants of India.

1972 - 1976 National Merit Scholarship of the Government of India

OTHER ACTIVITIES

- I was a member of the editorial board of *the Accounting Review* for six years. I am currently a member of the editorial boards of *Journal of Accounting, Auditing, and Finance, Journal of Management Accounting Research, and Journal of Accounting and Public Policy.* I have served as a reviewer for several academic journals such as *Journal of Accounting Research, Journal of Accounting and Economics, Rand Journal of Economics, Journal of Economics and Management Strategy, Review of Financial Studies, Management Science, Review of Accounting Studies, Contemporary Accounting Research, Strategic Management Journal, European Journal of Operations Research, Journal of Economic Behavior and Organization*, etc.

- In the past, I have served as a member of dissertation committees for several students including Mr. Zhiyong Liu in MEDS and Mr. Hon Sing Lee in Managerial Economics and Strategy, Bjorn Jorgensen (initial appointment at Harvard University), Eric Weber ((initial appointment at University of Navarra, Spain), Jim Nolan (initial appointment at Harvard University), Thomas Fields (initial appointment at Harvard University) and Ann Beyer (in Stanford University). I also was a co-chairman of the dissertation committees for Ramanan Natarajan and Ivan Marinovic.

- I have presented my research papers at several leading universities and conferences both in the US and abroad.

- I developed a new MBA course, "Project Report: Preparation and Appraisal (aka Profit Planning and Control," that integrates concepts from several disciplines.

- I was the coordinator for the Big-10 Doctoral Consortium held at the Allen Center in July 1998.

- I served as a Coordinator for the Ph.D. program in the Accounting department for the period 1998-2004.

- I also served as a member of the Research Advisory Committee of the American Accounting Association for four years.

- I served as a member of the Curriculum Committee for review of two courses: Media Management (MEDM) # 912 and # 913.

- I also served as a faculty observer in a Kellogg Honor Code investigation process.

- I have made several presentations on behalf of the department in various events such as Day at Kellogg (DAK), the department presentations for prospective TMP students, etc.

- I have served in the recruiting committees of the department for several years and always take active participation in the recruiting efforts of the department.

- I served on the Curriculum Committee in Kellogg 2006 – 2008.

- I have served on several ad hoc committees dealing with review of personnel cases.

- I was also on the personnel committee for Kellogg for the last two years.

- I also actively involve myself in Ph. D. students recruiting efforts.

- I received a "Faculty Impact Award" decided by students in Fall 2009.

- I continue to participate in several school-wide and university events including Day at Kellogg, Orientation sessions for incoming students for part-time students, University domain dinners, new faculty orientation, etc.

- I was also on the committee for the Reiter Award committee for the best research paper for the year 2010.

- I served on the Search Committee for the Law School Dean during 2011.